JC03 Rec'd PCT/PTO

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES **PUPPELS** DESIGNATED/ELECTED OFFICE (DO/EO/US) ee 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/NL2003/000815 December 2003 December TITLE OF INVENTION "USE OF HIGH WAVENUMBER RAMAN SPECTROSCOPY FOR MEASURING TISSUE" APPLICANT(S) FOR DO/EO/US Rolf WOLTHUIS and Senada KOLLIENOVIC Jan PHPPELS Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). X 9 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. l Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification.

Other items or information: Copy of Int'l 20. Y Other items or information: Copy of Int'l Search Rot; Copy of IPER

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

A power of attorney and/or change of address letter.

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Page 1 of 2

JC18 Rec'd PCT/PTO C1 JUN 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER		
Unknown 10/537074 PCT/NL2003/000815					PUPPELS	
The following rees have been submitted					CALCULATIONS	PTO USE ONLY
21. 🛛 Basi	c national fee			\$300	\$ 300.00	
22. X Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.00	
23. 区 Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority						
International Search Report prepared and provided to the Office\$400 All other situations\$500					\$ 400.00	
TOTAL OF 21, 22 and 23 =					\$ 900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets		ch additional 50 or fraction I up to a whole number)	RATE		
54 - 100 =	_ /50	=		x \$250	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$ 130.00	
CLAIMS	NUN	BER FILED	NUMBER EXTRA	RATE	\$	
Total claims	1	б - 20 =	0	x \$ 50	\$ 0.00	
Independent clair	ns	3 - 3 =	0	× \$200	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0 + \$360				+ \$360	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS = \$					\$ 130.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						
SUBTOTAL =					\$ 1030.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$	
TOTAL NATIONAL FEE =					\$ 1030.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	
TOTAL FEES ENCLOSED =					\$ 1030.00	
					Amount to be refunded:	\$
					Amount to be charged:	\$1030.00
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. A duplicate copy of this sheet is enclosed.						
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit						
Account No. 08 = 0570. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not						
be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition previve (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:					Uts	
Customer No. 00545 Anthony H.					Handal / Roge	r Pitt
NAME						
26,275 / REGISTRATIO					46,996 ON NUMBER	

JC09 Rec'd PCT/PTO 01 JUN 2005

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

Gerwin Jan PUPPELS, Rolf WOLTHUIS and Senada KOLJENOVIC

New U.S. Patent Application Filed: Herewith

National Stage of International Application No. PCT/NL2003/000815

Filed: 2 December 2003

Claiming Priority of European Patent Application No. 02080032.2

Filed: 2 December 2002 Attorney Docket: PUPPELS

"USE OF HIGH WAVENUMBER RAMAN SPECTROSCOPY FOR

MEASURING TISSUE"

SIR:

We enclose herewith:

[X] Transmittal letter concerning filing under 35 U.S.C. 371 with fee calculation sheet in duplicate;

[X] Copy of International Application WO 2004/051242 (PCT/NL2003/000815) including specification (38 p.), claims (7 p.), and abstract (1 p.);

[X] Eight (8) sheets of drawings;

[X] Copy of International Search Report (PCT/NL2003/000815);

[X] Copy of International Preliminary Examination Report (PCT/NL2003/000815);

[X] Declaration (unexecuted);

[X] Preliminary Amendment; and

[X] Acknowledgement postcard.

<u>CORRESPONDENCE INDICATION</u>: Please recognize the following address as the correspondence address: **Customer Number 00545**.

The Commissioner is hereby authorized to charge payment of the fees associated with this communication or credit any overpayment to Deposit Account No. 08-0570. Applicant hereby petitions under 37 CFR 1.136 or other applicable rule to have the response period extended the number of months necessary to render the attached communication timely if a petition is required.

Respectfully submitted,

Reg. No. 26,275 Phone: (212) 536-4870

Roger Pitt

Reg. No. 46,996 Phone: (212) 536-4867

Express Mail Label: EV 655939880 US

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Effective on 12/08/2004 Complete if Known Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number 10/537,074 FEE TRANSMITTAL Filing Date 2 December 2003 For FY 2005 First Named Inventor PUPPELS et al. **Examiner Name** Unknown Applicant claims small entity status. See 37 CFR 1.27 Art Unit Unknown TOTAL AMOUNT OF PAYMENT 120.00 Attorney Docket No. **PUPPELS** METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): ✓ Deposit Account Deposit Account Number: <u>080570</u> Deposit Account Name: Roger Pitt For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) ✓ Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FEES FILING FEES** SEARCH FEES **Small Entity Small Entity** Small Entity **Application Type** Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 200 250 100 200 Design 100 100 130 50 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 600 250 300 Provisional 200 100 0 0 n 0 Small Entity 2. EXCESS CLAIM FEES Fee (\$) Fee Description Fee (\$) Each claim over 20 (including Reissues) 50 200 100 Each independent claim over 3 (including Reissues) 360 180 Multiple dependent claims **Multiple Dependent Claims Total Claims** Fee Paid (\$) **Extra Claims** Fee (\$) - 20 or HP = Fee Paid (\$) Fee (\$) HP = highest number of total claims paid for, if greater than 20. **Extra Claims** Indep. Claims Fee (\$) Fee Paid (\$) -3 or HP =HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee Paid (\$) Extra Sheets Fee (\$) Total Sheets ___ (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Petition for Extension of Time (1 Month - \$120.00) 120.00 SUBMITTED BY Registration No. (Attorney/Agent) 46,996 Telephone 212-536-4867 Signature Date February 13, 2006 Name (Print/Type)

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.